THE UNIVERSITY OF MICHIGAN REGENTS COMMUNICATION

ACTION REQUEST

Subject: Reassignment Agreement between the University of Michigan

and Mark Orringer

Action Requested: Approval of Reassignment Agreement

Preamble:

A statutory conflict of interest situation was identified by the Office of Technology Transfer while reviewing the technology transfer agreement that then triggered a review by the Medical School Conflict of Interest Board.

This proposed reassignment agreement ("Agreement") falls under the State of Michigan Conflict of Interest Statute because Professor Mark Orringer is an employee of the University of Michigan ("University") and a direct party to an agreement with the University. The law permits such an Agreement provided it is disclosed to the Board of Regents ("Regents") of the University of Michigan and approved in advance by a 2/3 vote.

Background:

Mark Orringer, MD, a Professor Emeritus of Surgery and Thoracic Surgery, has asked to have the University's rights to the following technology assigned to him personally:

UM OTT File No. 7789, entitled: "Cervical Esophagogastric Anastomosis (CEGA) Simulator" (Inventors: Mark Orringer, Doug Hennigar, Alexander Price)

The Office of Technology Transfer negotiated the terms of the proposed Agreement in accordance with University policy and its accepted principles related to reassignment procedures.

Parties to the Agreement:

The Regents of the University of Michigan and Dr. Mark Orringer

Agreement Terms Include:

Agreement terms include granting to Dr. Orringer any right, title, and interest the University may have in the technology referenced above.

No use of University services or facilities, nor any assignment of University employees, is obligated or contemplated under the Agreement. Standard disclaimers of warrantees and indemnification apply, and the Agreement may be amended by consent of the parties. University procedures for approval of these changes will be followed and additional conflict of interest review will be done as appropriate.

Pecuniary Interest:

The pecuniary interests of Dr. Mark Orringer arise from receipt of a reassignment agreement to the technology.

Net Effect:

The Office of Technology Transfer has negotiated and finalized the terms of a reassignment agreement for patents related to UM OTT File No. 7789 for all fields of use. Dr. Mark Orringer will obtain use and commercialization rights to the above listed University technology.

Recommendations:

This matter has been reviewed and approved by the Medical School Conflict of Interest Board. In light of this disclosure and our finding that the Agreement was negotiated in conformance with standard University practices, I <u>recommend</u> that the Board of Regents approve the Agreement between the University and Dr. Mark Orringer.

Respectfully submitted,

S. Jack Hu

Vice President for Research

February 2018