

THE UNIVERSITY OF MICHIGAN

Approved by the Regents
January 20, 2011

REGENTS COMMUNICATION

ACTION REQUEST

Subject: License Agreement between the University of Michigan and Li, Fischer, Lepech and Associates, LLC

Action Requested: Approval of License Agreement

Preamble:

A statutory conflict of interest situation was identified by the Office of Technology Transfer while reviewing the technology transfer agreement. This then triggered a review by the OVPR Conflict of Interest Review Committee. A plan for management of the possible risks associated with the conflict of interest was then developed and approved by this Committee and agreed to by the parties involved in this plan.

This proposed license agreement (“Agreement”) falls under the State of Michigan Conflict of Interest Statute because Professor Victor Li is both an employee of the University of Michigan (“University”) and a partial owner of Li, Fischer, Lepech and Associates, LLC. The law permits such an Agreement provided it is disclosed to the executive officers and approved in advance by a 2/3 vote of the Regents of the University of Michigan.

Background:

Dr. Victor Li, a Professor in Civil and Environmental Engineering, is the partial owner of a for-profit company called Li, Fischer, Lepech and Associates, LLC (“Company”). The Company was formed in 2007 as a consulting business, and now desires to commercialize engineered cementitious composites by licensing from the University of Michigan the University’s rights associated with the following technologies:

UM File No. 1193, entitled: “Control of Interface Properties Between Fiber/Cementitious Material” (Victor C. Li, Hwai-Chung Wu)

UM File No. 1863, entitled: “Concrete Constructions Employing the Use of a Ductile Strip” (Victor C. Li, Jun Zhang)

UM File No. 1888, entitled: “Self-Compacting Engineered Cementitious Composite” (Victor C. Li, Hyun-Joon Kong)

UM File No. 2383, entitled: “Lightweight Strain Hardening Brittle Matrix Composites” (Victor C. Li, Shuxin Wang)

UM File No. 2418, entitled: “Sprayable, Strain-Hardening Cementitious Compositions” (Victor C. Li, Yun Yong Kim, Hyunjoon Kong)

Recommendations:

This matter has been reviewed and approved by the OVPR Conflict of Interest Review Committee. In light of this disclosure and our finding that the Agreement was negotiated in conformance with standard University practices, I recommend that the Board of Regents approve the License Agreement between the University and Li, Fischer, Lepech and Associates, LLC.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Stephen R. Forrest". The signature is fluid and cursive, with a long horizontal stroke extending to the right.

Stephen R. Forrest
Vice President for Research

January 2011